## IN THE UNITED STATES PATENT OFFICE

### PATENT APPLICATION

Applicant(s):

Anthony Scott Oddo et al.

Serial No.:

10/552,784

I.A. Application No.:

PCT/US04/10311

I.A. Filing Date:

04/02/2004

For:

CONTENT NOTIFICATION AND DELIVERY

**Group Art Unit:** 

Unknown

**Examiner:** Unknown

Confirmation #:

Unknown

**Attorney Docket No.:** 

SEDN/PRED115

MAIL STOP PETITIONS Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or being facsimile transmitted to the USPTO, on the date indicated below.

CERTIFICATE OF MAILING OR TRANSMISSION

SIR:

DECLARATION OF YVETTE THORNTON IN SUPPORT OF PETITION FOR FILING OF PATENT APPLICATION WHEN JOINT INVENTOR(S) REFUSES TO JOIN IN APPLICATION OR CANNOT BE REACHED UNDER 37 C.F.R. §1.47(a)

### Yvette Thornton declares:

- I am a Patent Agent (Registration No. 57,812) employed by Sedna Patent Services, LLC, 1500 Market Street, 27th Floor - West Tower, Philadelphia, Pennsylvania 19102, hereinafter "the Company."
- On behalf of Company, I have made diligent effort to obtain execution of the above-identified patent application (as well as corresponding foreign applications) by joint inventors Nathaniel J. Thurston (hereinafter "Thurston"), Devin F. Hosea (hereinafter "Hosea") and Thomas J. Renger (hereinafter "Renger").

3. Specifically, I took the following actions at the following times:

## **September 19, 2005**

Attempted to "Google" each of the named inventors. Found email listings for several of them.

Anthony Oddo: 'soddo@cns.bu.edu'; 'aoddo@mitre.org', 'scott@oddo.info'; 'soddo@bu.edu'

Devin Hosea: 'dhosea@alumniprinceton.edu'

Nathaniel Thurston: 'njt@lglass.com', 'njt@geom.umn.edu'

## **September 21, 2005**

Sent email to inventors at the abovementioned found addresses. Anthony Oddo responded from 'aoddo@mitre.org' address. He verified his current mailing address and also provided mailing addresses and phone numbers for several of his coinventors.

Mr. Oddo indicated that he thought Thurston was "fishing in Iceland", but did not have an address or phone number. Oddo also stated that it was Thurston's intent to "drop off the face of the earth". I interpreted this to mean that Thurston did not want to be reachable by anyone.

### **September 26, 2005**

Searched www.Anywho.com and www.Verizon.com for additional numbers for Hosea, Renger, Perdon and Thurston

Called said inventors.

Spoke with Perdon's father who directed me to his son. I spoke with the junior Perdon and verified his contact information.

I asked Mr. Perdon if he had any information on the other inventors and he did not.

Left messages for Hosea, and Renger. To date, I have not received a response.

#### October 2005

I continued to call Hosea and Renger bi-weekly. Left message each time.

### October 19-20 2005

Wrote and mailed certified letters to the inventors pertaining to the validation of PCT/US2004/010311 in China and India. Enclosed assignments and declarations to be executed.

Package addressed to Renger returned marked "Unclaimed". Delivery attempts were made on 10/24, 11/4 and 11/14.

### November 21, 2005

The certified letter mailed on October 19, 2005 was returned marked "address unknown".

## November 23, 2005

I searched <u>www.anywho.com</u> for listings for the inventors. The search recovered four listings. I called all four listings. I spoke to one gentleman who had two numbers listed. The man stated that he never worked for Predictive Media

### November 29, 2005

Received executed documents from Anthony Oddo for the national phase application

### December 1-2, 2005

Wrote and mailed certified letters to the inventers. Enclosed a copy of the US application as well as assignment and declaration papers.

Package mailed to Hosea was returned marked "Refused" Delivery receipts were received for Thurston dated 12/14/2005 Delivery receipts were received for Oddo dated 12/10/2005; Delivery receipts were received for Perdon dated 12/8/2005. Delivery receipt returned for Thurston dated 12/14/2005

### **December 7, 2005**

I called Perdon at numbers, home and work, to inquire about non-execution of papers.

### **December 20, 2005**

Received executed documents from Albert Perdon

### **December 29. 2005**

Received executed documents from Anthony Oddo for US application.

### **January 6, 2006**

Called Perdon at 12:29 pm at home and left a message pertaining to unsigned declarations for China and India.

Called Perdon at 12:30 pm at work; his mailbox was full so paged him. No return called was received.

Drafted letters to inventors and mailed certified to the following addresses: Renger 12 Ellery Street, #405, Cambridge, MA 02138
Hosea 300 Western Way, Princeton, NJ 08540
Thurston 40 Cedar Street, No. 2 Right, Somerville, MA 02143
Thurston 1 North End Ave., Suite 115, New York, NY 10281
Thurston 68 Pearson Rd., #2, Somerville, MA 02144
Hosea 3 Gloucester St., NO. 10, Boston, MA 02115
Renger 630 Wakefield Ct., Long Beach CA 90803

## January 9, 2006

Mailed letters to the inventors via certified mail.

Certified letters mailed to Renger and Thurston were returned.

# January 2006 (unsure of exact day)

I called US Post Office to inquire if there was a forwarding address on file for Thurston. Postmaster indicated that he could not divulge such information.

## January 20, 2006

Mailed letters via regular mail (uncertified) to inventors Renger, Hosea and Thurston at addresses above.

All letters were returned.

## January 23, 2006

Received a return letter addressed to Hosea (3 Gloucester St., NO. 10, Boston, MA 02115) marked address unknown. Letter was mailed on 1/9/06.

## January 24, 2006

Received email from Renger confirming receipt of January 20, 2006 letter (mailed to two different addresses). He indicated that his mailing address was 5555 Melrose Avenue, HART 302, Hollywood CA 90038.

## January 25, 2006

Mailed documents to Tom Renger via FEDEX at 5555 Melrose Avenue, HART 302, Hollywood CA 90038. Receipt attached.

## January 26, 2006

Emailed Hosea at <a href="mailed-dhosea@ambiocorp.com">dhosea@ambiocorp.com</a>. Email address was found by Laura Crater. There was no response to the email.

## February 2, 2006

Sent email to Renger to confirm receipt of January 25th package.

## March 7, 2006

Again, emailed Hosea requesting current contact information. No response received.

I sent an Email to Renger to request a response to my earlier emails.

## March 23, 2006

Renger sent email requesting payment of \$1000 for his services (i.e., review and execute documents).

## March 30, 2006

I sent a final email to Renger refusing to pay the additional fee and indicating that I interpreted his request as a "refusal to sign".

## May 17, 2006

To date, I have not received any correspondence from Hosea and have been unable to locate Thurston.

- 4. Copies of various returned envelopes, receipts, and emails are also attached.
- 5. I declare under penalty of perjury that the all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Sec. 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

Dated: 6/1/06

vette Thornton

Sedna Services
1500 Market Street
27th Floor, West Tower
Philadelphia, PA 19102

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Sedna Services 1500 Market Street

27th Floor - West Tower Philadelphia, PA 19102

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Nathaniel J. Thurston



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Sedna Services 1500 Market Street 27th Floor, West Tower Philadelphia, PA 19102

Dr. Nathaniel Thurston 40 Cedar Street NO. 2 Right Somerville, MA 02143







Sedna Services 1500 Market Street 27th Floor -West Tower Philadelphia, PA 19102

☐ FORMER EMPLOYEE
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Suite 115 New York, NY 10281

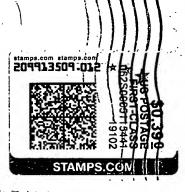
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Dr. Nathaniel Thurston

40 Cedar Street 3 No. 2 Right Somerville, MA 02143













### **Yvette Thornton**

From: Yvette Thornton

Sent: Wednesday, September 21, 2005 1:53 PM

To: 'dhosea@alumniprinceton.edu'

Subject: Pending Patent Application

### Mr. Hosea

We are currently prosecuting a patent application from Predictive which name you as an inventor. We would greatly appreciate it if you would sign a declaration of inventorship in order to expedite prosecution. Please provide your current contact information so we may obtain the necessary materials.

Thank you so much for your cooperation.

Yvette Thornton Sedna Services 1500 Market Street 27th Floor-West Tower Philadelphia PA 19102 (267)-765-7100 (main) (267)-765-7128 (direct)



Sedna Services 1500 Market Street

27th Floor, West Tower Philadelphia, PA 19102



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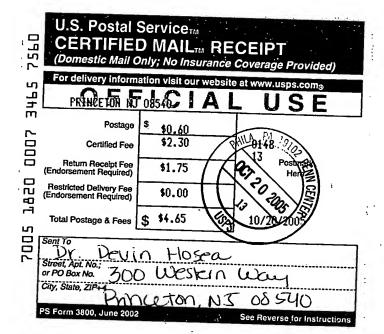
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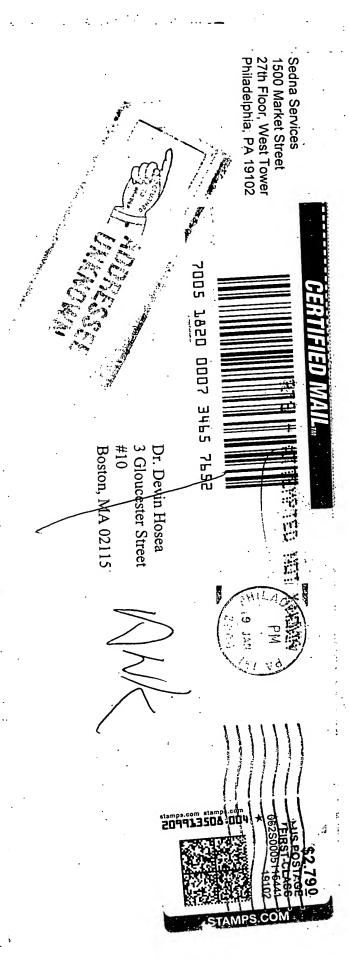
Section 4

#### SENDER: COMPLETE THIS SECTION COMPLETE THIS SECTION ON DELIVERY ■ Complete items 1, 2, and 3. Also complete A. Signature item 4 if Restricted Delivery is desired. Agent Print your name and address on the reverse ☐ Addressee so that we can return the card to you. B. Received by (Printed Name) C. Date of Delivery Attach this card to the back of the mailpiece, or on the front if space permits. 1. Article Addressed to: If YES, enter delivery address below: Dr. Devin F. Hosea 300 western way Frinceton, NJ 08540 3. Service Type Certified Mail ☐ Express Mail Registered ☐ Return Receipt for Merchandise ☐ Insured Mail ☐ C.O.D. 4. Restricted Delivery? (Extra Fee) · 🗆 Yes 2. Article Number 7005 1820 0007 3465 7560 Transfer from service lab า 3811, February 2004 Domestic Return Receipt 102595-02-M-1540



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Sedna Services 1500 Market Street 27th Floor -West Tower Philadelphia, PA 19102

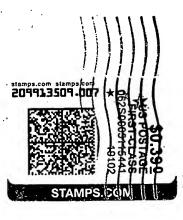
Dr. Devin Hosea

3 Gloucester Street No. 10

Boston, MA 02115

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### **Yvette Thornton**

From: Yvette Thornton

Sent: Thursday, January 26, 2006 3:40 PM

To: 'dhosea@ambiocorp.com'

**Subject: Pending Patent Applications** 

Mr. Hosea,

We, Sedna Services, are currently prosecuting several patent applications from Predictive Networks, which name you as an inventor.

We need you to execute several documents in order to proceed with prosecution. Please contact us as soon as possible to confirm your current mailing and resident address so that we can forward the necessary paperwork to you.

Thank you in advance for your cooperation.

Yvette Thornton Sedna Services 1500 Market Street 27th Floor-West Tower Philadelphia PA 19102 (267)-765-7100 (main) (267)-765-7128 (direct)

### **Yvette Thornton**

From: Yvette Thornton

Sent: Tuesday, March 07, 2006 10:46 AM

To: 'dhosea@ambiocorp.com'
Subject: Pending Patent Applications

Dear Mr. Hosea,

Once again, I am requesting a respond to my email of January 26, 2006. We are under a time restriction and would appreciate confirmation of contact information.

For the sake of clarity, let me summarize who we are and why we are contacting you:

### (1) Who is Sedna Services?

Sedna Services (<u>www.sedna.com</u>) is an MSO-owned company that provides research, analysis and intellectual property management for its owner companies, Comcast, Cox and Charter. The company was founded in 2000 as TVGateway LLC, a consortium of leading cable MSOs set up to develop a server based electronic program guide (EPG). The intention was to drive innovation in the user interface for cable services.

More recently, the consortium has turned Sedna Services' resources toward intellectual property (IP) in areas of interest to the cable business. Sedna buys IP, writes new patent applications, manages the resulting portfolio, conducts research projects related to cable technologies and to emerging technologies of interest to the cable industry, and supports its owner companies on all matters related to IP.

### (2) Why are we contacting you?

Sedna has acquired a portfolio of patents and patent applications from Predictive Media Corporation, formerly known as Predictive Networks, Inc. We have a duty to our shareholders to prosecute the Predictive patent applications, and the legal right to do so. Specifically, we are prosecuting US Application 10/552784 which extends from the international application PCT/US2004/010311 and the provisional application 60/459933, both of which name you as an inventor.

We need you to execute (1) a combined declaration and power of attorney and (2) an assignment for application for patent. The first document is an acknowledgement of the pending application and its history, and grants power of attorney to us and to our external attorneys to prosecute. The second document is an assignment which turns over all rights to Sedna Services. We would like you to sign both documents for simplification of the patent process in the United States.

We would like to work with you, and we sincerely hope you feel the same. However, we must note that you have already signed an assignment over to Predictive Media for the provisional application and for the international application. Therefore, if you refuse to sign documents (1) and (2), we can and will pursue prosecution at the PTO, but without your consent. Frankly, we'd rather not do that, but we must rely upon the option as our last resort.

### (4) What is the benefit to you?

The benefit to you would be to have a patent in your name. This process requires very little investment of time by you on the one hand, and on the other hand, it fulfills your final commitment to Predictive. We would prefer to work with you, if that is possible.

Again, absent a response to this email, we will petition the USPTO under the Code of Federal Regulation (CFR) 1.47, to accept the application without your signatures as you are REFUSING to sign.

We look forward to hearing from you, and thank you in advance for your cooperation.

Any response would be appreciated.

Regards,

Yvette Thornton

Yvette Thornton Sedna Services 1500 Market Street 27th Floor-West Tower Philadelphia PA 19102 (267)-765-7100 (main) (267)-765-7128 (direct)

90803





1500 Market Street Sedna Services

27th Floor - West Tower Philadelphia, PA 19102

Dr. Thomas Renger 630 wakefield court Long Broch, CA 90803



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Sedna Services 1500 Market Street

27th Floor -West Tower Philadelphia, PA 19102

#405 Dr. Thomas Renger 12 Ellery Street Cambridge, MA 02138

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### **Laura Crater**

From: Tom\_Renger@Paramount.com

Sent: Monday, April 03, 2006 2:32 PM

To: Yvette Thornton

Cc: Eamon Wall; Larry Goldstein; Laura Crater

Subject: RE: Executed Documents

Dear Yvette,

Thank you for your response. Feel free to contact me in the future if anything else comes up.

Thank you,
Tom Renger
Director, Business Development
Viacom Recreation
Office: (323) 956-8607
Fax: (323) 862, 1153

Fax: (323) 862-1153 Cell: (562) 673-2898

tom\_renger@paramount.com

----- Replied by Tom Renger on 4/3/2006 11:29:54 AM ------

From: "Yvette Thornton" < yvette.thornton@sedna.com>

03/30/2006 09:22 AM

To: <Tom Renger@Paramount.com>

cc: <EWall@pattersonsheridan.com>, <LCrater@pattersonsheridan.com>, "Larry Goldstein"

<Larry.Goldstein@sedna.com>
Subject: RE: Executed Documents

Dr. Renger,

I appreciate you getting back to me. At this time, we are not willing to offer payment for such services. As a point of record, you have already assigned all rights to this intellectual property, as well as all others listing you as an inventor, over to Predictive which was then purchased by Sedna. We have your employment agreements and the executed documents for the filed provisional application.

As stated in my last email, I interpret this as a "refusal to sign" and we will prosecute the applications accordingly.

Thank you for your time and consideration.

Yvette Thornton Patent Agent Sedna Services **From:** Tom\_Renger@Paramount.com [mailto:Tom\_Renger@Paramount.com]

Sent: Thursday, March 23, 2006 12:35 PM

**To:** Yvette Thornton

**Subject:** Re: Executed Documents

Dear Yvette,

I apologize for not having gotten back to you sooner. I've been extremely busy at work. In order to adequately assess this mater, I need to perform a detailed review of the documents. Accordingly, I am requesting a payment of \$1,000 for the time it would take me to perform this review. Alternatively, if Sedna can proceed without my execution, I have no problem with my name being eliminated from the patent if you think it is appropriate. Further, if we go down the path of signing these documents, my rudimentary legal sense leads me to believe that I should make all assignments to Predictive and that such would then pass to Sedna as successor to Predictive. I would prefer not to have to get into researching and verifying Sedna's claims on Predictive's patents. Please let me know how you would like to proceed.

Thank you
Tom Renger
Director, Business Development
Viacom Recreation
Office: (323) 956-8607
Fax: (323) 862-1153
Cell: (562) 673-2898
tom\_renger@paramount.com

----- Replied by Tom Renger on 3/23/2006 9:33:38 AM ------

From: "Yvette Thornton" < yvette.thornton@sedna.com>

03/07/2006 07:42 AM

To: <Tom\_Renger@Paramount.com>

cc:

Subject: Executed Documents

Dr. Renger,

Once again, I am requesting a response to my email of February 3, 2006. We are under a time restriction and would appreciate confirmation of your decision regarding the execution of the documents FEDEXed to you on January 25, 2006. Our records indicate that you did receive the package.

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### (3) What are the legal documents we mailed you?

I mailed to you, on January 25, 2006, along with a copy of the US application, (1) a combined declaration and power of attorney and (2) an assignment for application for patent. The first document is an acknowledgement of the pending application and its history, and grants power of attorney to us and to our external attorneys to prosecute. The second document is an assignment which turns over all rights to Sedna Services. We would like you to sign both documents for simplification of the patent process in the United States.

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Regards,

Yvette Thornton

Yvette Thornton Sedna Services 1500 Market Street 27th Floor-West Tower Philadelphia PA 19102 (267)-765-7100 (main) (267)-765-7128 (direct)